Compliance Inspection Form
Existing Subsurface Sewage Treatment Systems (SSTS)

Instructions: Inspection results based on Minnesota Pollution Control Agency (MPCA) requirements and attached forms – additional local requirements may also apply.
Submit completed form to Local Unit of Government (LUG) and system owner within 15 days

System Status

System status on date (mm/dd/yyyy): ______________________

☐ Compliant – Certificate of Compliance
  (Valid for 3 years from report date, unless shorter time frame outlined in Local Ordinance.)

☐ Noncompliant – Notice of Noncompliance
  (See Upgrade Requirements on page 3)

Reason(s) for noncompliance (check all applicable)
☐ Impact on Public Health (Compliance Component #1) – Imminent threat to public health and safety
☐ Other Compliance Conditions (Compliance Component #3) – Imminent threat to public health and safety
☐ Tank Integrity (Compliance Component #2) – Failing to protect groundwater
☐ Other Compliance Conditions (Compliance Component #3) – Failing to protect groundwater
☐ Soil Separation (Compliance Component #4) – Failing to protect groundwater
☐ Operating permit/monitoring plan requirements (Compliance Component #5) – Noncompliant

Property Information

Parcel ID# or Sec/Twp/Range: ______________________

Property address: ______________________

Property owner: ______________________

Owner’s phone: ______________________

or

Owner’s representative: ______________________

Representative phone: ______________________

Local regulatory authority: ______________________

Regulatory authority phone: ______________________

Brief system description: ______________________

Comments or recommendations: ______________________

Certification

I hereby certify that all the necessary information has been gathered to determine the compliance status of this system. No determination of future system performance has been nor can be made due to unknown conditions during system construction, possible abuse of the system, inadequate maintenance, or future water usage.

Inspector name: ______________________

Business name: ______________________

Certification number: ______________________

License number: ______________________

Inspector signature: ______________________

Certification number: ______________________

Phone number: ______________________

Necessary or Locally Required Attachments

☐ Soil boring logs

☐ System/As-built drawing

☐ Forms per local ordinance

☐ Other information (list): ______________________
### 1. Impact on Public Health – Compliance component #1 of 5

<table>
<thead>
<tr>
<th>Compliance criteria:</th>
<th>Verification method(s):</th>
</tr>
</thead>
<tbody>
<tr>
<td>System discharge sewage to the ground surface.</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>System discharge sewage to drain tile or surface waters.</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>System causes sewage backup into dwelling or establishment.</td>
<td>□ Yes □ No</td>
</tr>
</tbody>
</table>

*Any “yes” answer above indicates the system is an Imminent Threat to Public Health and Safety.*

Comments/Explanation:

### 2. Tank Integrity – Compliance component #2 of 5

<table>
<thead>
<tr>
<th>Compliance criteria:</th>
<th>Verification method(s):</th>
</tr>
</thead>
<tbody>
<tr>
<td>System consists of a seepage pit, cesspool, drywell, or leaching pit.</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>Seepage pits meeting 7080.2550 may be compliant if allowed in local ordinance.</td>
<td></td>
</tr>
<tr>
<td>Sewage tank(s) leak below their designed operating depth.</td>
<td>□ Yes □ No</td>
</tr>
<tr>
<td>If yes, which sewage tank(s) leaks:</td>
<td></td>
</tr>
</tbody>
</table>

*Any “yes” answer above indicates the system is Failing to Protect Groundwater.*

Comments/Explanation:

### 3. Other Compliance Conditions – Compliance component #3 of 5

- **a.** Maintenance hole covers are damaged, cracked, unsecured, or appear to structurally unsound. □ Yes* □ No □ Unknown
- **b.** Other issues (electrical hazards, etc.) to immediately and adversely impact public health or safety. □ Yes* □ No □ Unknown
  
  *System is an imminent threat to public health and safety*  
  
  Explain:

- **c.** System is non-protective of ground water for other conditions as determined by inspector □ Yes* □ No
  
  *System is failing to protect groundwater*  
  
  Explain:
### 4. Soil Separation – Compliance component #4 of 5

<table>
<thead>
<tr>
<th>Date of installation:</th>
<th>□ Unknown</th>
</tr>
</thead>
</table>

Shoreland/Wellhead protection/Food Beverage Lodging?

**Compliance criteria:**

1. **For systems built prior to April 1, 1996, and not located in Shoreland or Wellhead Protection Area or not serving a food, beverage or lodging establishment:**

   Drainfield has at least a two-foot vertical separation distance from periodically saturated soil or bedrock.

   - □ Yes  □ No

2. **Non-performance systems built April 1, 1996, or later or for non-performance systems located in Shoreland or Wellhead Protection Areas or serving a food, beverage, or lodging establishment:**

   Drainfield has a three-foot vertical separation distance from periodically saturated soil or bedrock.

   - □ Yes  □ No

3. **“Experimental”, “Other”, or “Performance” systems built under pre-2008 Rules; Type IV or V systems built under 2008 Rules (7080.2350 or 7080.2400 (Advanced Inspector License required))**

   Drainfield meets the designed vertical separation distance from periodically saturated soil or bedrock.

   - □ Yes  □ No

**Verification method(s):**

- Soil observation does not expire. Previous soil observations by two independent parties are sufficient, unless site conditions have been altered or local requirements differ.
- □ Conducted soil observation(s) (Attach boring logs)
- □ Two previous verifications (Attach boring logs)
- □ Not applicable (Holding tank(s), no drainfield)
- □ Unable to verify (See Comments/Explanation)
- □ Other (See Comments/Explanation)

**Comments/Explanation:**

**Indicate depths of elevations**

- A. Bottom of distribution media
- B. Periodically saturated soil/bedrock
- C. System separation
- D. Required compliance separation

*May be reduced up to 15 percent if allowed by Local Ordinance.

**Any “no” answer above indicates the system is Failing to Protect Groundwater.**

### 5. Operating Permit and Nitrogen BMP* – Compliance component #5 of 5

<table>
<thead>
<tr>
<th>Is the system operated under an Operating Permit?</th>
<th>□ Yes  □ No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Is the system required to employ a Nitrogen BMP?</td>
<td>□ Yes  □ No</td>
</tr>
</tbody>
</table>

**BMP=Best Management Practice(s) specified in the system design**

*If the answer to both questions is “no”, this section does not need to be completed.*

**Compliance criteria**

a. Operating Permit number: __________________________

   - □ Yes  □ No  Have the Operating Permit requirements been met?

b. Is the required nitrogen BMP in place and properly functioning?

   - □ Yes  □ No

**Any “no” answer indicates Noncompliance.**

**Upgrade Requirements** (Minn. Stat. § 115.55) An imminent threat to public health and safety (ITPHS) must be upgraded, replaced, or its use discontinued within ten months of receipt of this notice or within a shorter period if required by local ordinance. If the system is failing to protect ground water, the system must be upgraded, replaced, or its use discontinued within the time required by local ordinance. If an existing system is not failing as defined in law, and has at least two feet of design soil separation, then the system need not be upgraded, repaired, replaced, or its use discontinued, notwithstanding any local ordinance that is more strict. This provision does not apply to systems in shoreland areas, Wellhead Protection Areas, or those used in connection with food, beverage, and lodging establishments as defined in law.